Delayed Tumor Response and Safety Profile in Patients with Refractory Superficial Cancers Treated with Intratumoral Injections of HF10, an Oncolytic HSV-1 TakaRa

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INTRODUCTION

Takara Bio Inc. is developing HF10 as an oncolytic viral therapy for intratumoral injection into cutaneous and/or superficial lesions. HF10 is a spontaneously occurring mutant of the HF strain of Herpes Simplex Virus type 1 (HSV-1). HF10 is clearly different from other attenuated HSV and wild type strains; in preclinical models it was shown to be replication-competent, lack neuro-invasiveness and have attenuated virulence.

Following infection of human cells with HSV-1, the virus replicates and destroys infected cells. HF10 has been evaluated in mouse bilateral xenograft models using M-3 melanoma cells. One of 2 tumors was injected with HF10. The treatment was found to have improved anti-tumor effects not only in the HF10treated side but also in the non-treated side, suggesting a systemic effect. Survival was also improved in mice that have been inoculated with M-3 melanoma cells.

The primary purpose of this Phase I study was to assess the safety and tolerability of HF10 in patients with solid, superficial tumors.

STUDY DESIGN

Study Objectives

- Evaluate the safety and tolerability of HF10 in patients with refractory head and neck cancer, melanoma and other solid tumors with cutaneous and/or superficial lesions
- Characterize viral replication after HF10 treatment
- Evaluate evidence of overall and local antitumor activity after single and repeat injections of HF10

Study Design

- Stage 1: Dose escalation of a single HF10 administration at doses of: 1×10^5 , 3×10^5 , 1×10^6 , and 1 x 10⁷ TCID50 using a "3+3" design
- Stage 2: Repeated administrations of HF10 (up to 4) at doses of 1×10^6 , and 1×10^7 TCID50

Evaluations

- Safety: Adverse events by CTCAE ver. 3.0
- Response: RECIST 1.0; 4-week intervals
- Viral Shedding: qPCR of blood, saliva, urine

PATIENT DEMOGRAPHICS AND DISPOSITION

Table 1: Patient Demographics

| Characteristics | N(%) | Characteristics | N(%) |
|-----------------|------------|-----------------|----------|
| Age (Years) | | Sex | |
| Median | 70.5 | Male | 13 (50%) |
| Range | 35-92 | Female | 13 (50%) |
| ECOG Status | | HSV-1 antibody | |
| 0 | 12 (46.2%) | (+) | 23 (88%) |
| 1 | 13 (50.0%) | (-) | 3 (12%) |
| 2 | 1 (3.8%) | | |

Key Inclusion Criteria

- Histologically-confirmed solid tumors that have progressed on standard therapies
- Measurable (RECIST 1.0) superficial tumor
- Adequate hepatic, renal, bone marrow function
- ECOG 0, 1, 2
- Life expectancy > 12 weeks
- No preexisting neurologic abnormalities (CTCAE

Study Treatment

Intratumoral injection of HF10 into a single target tumor more than 2 cm away from major vascular structures using direct visualization or endoscopy, as clinically determined. Ultrasound or computed tomography (CT) guidance may be used if necessary.

Table 2: Summary of Cohorts

| Study Enrollment (26 safety evaluable patients) | | | | | | |
|---|---|-----|--|--|--|--|
| _ | Cohort 1 (1x10 ⁵ TCID ₅₀) | N=5 | | | | |
| gle | Cohort 2 (3x10 ⁵ TCID ₅₀) | N=3 | | | | |
| Single Injection | Cohort 3 (1x10 ⁶ TCID ₅₀) | N=4 | | | | |
| | Cohort 4 (1x10 ⁷ TCID ₅₀) | N=3 | | | | |
| Repeat Injection | Cohort 1 (1x10 ⁶ TCID ₅₀) | N=3 | | | | |
| | Cohort 2 (1x10 ⁷ TCID ₅₀) | N=5 | | | | |
| | Expansion (1x10 ⁷ TCID ₅₀) | N=3 | | | | |

RESULTS

Table 3: Safety Summary

| Treatment-Emergent Adverse Events (TEAEs) | Number of patients |
|---|--------------------|
| Safety evaluable | 26 |
| With any TEAEs | 24 (92.3%) |
| With possible, probable, or definite drug-related TEAEs | 9 (34.6%) |
| With severity Gr 3, 4 or 5 for drug-related TEAEs | 0 (0%) |
| With any serious, drug- related TEAEs | 0 (0%) |
| Who discontinued drug due to drug-related TEAEs | 0 (0%) |

Table 4: Safety Profile

Drug Related TEAEs Safety evaluable patients Number of patients with TEAEs Chills Fatigue Injection Site reaction Malaise Pyrexia Haematoma Hypotension Nausea Dehydration Genital swelling Scrotal ulcer Pruritus

Case #1: Malignant Melanoma Patient 0020

| Patient 0020: Stage 2, 1 x 10 ⁶ TCID50/mL dose cohort | | | | | | | |
|---|------------------|---------------|-------------------------|---------------|----------------|-------------|--|
| 82 y/o male with metastatic melanoma of left frontal scalp | | | | | | | |
| Prior therapies: Intralesional Interleukin 2 + intralesional Ipilimumab | | | | | | | |
| Completed study per protocol (4 HF10 injections in left submandibular lymph node) | | | | | | | |
| HF10-related TEAEs experienced: None | | | | | | | |
| Best local response: PD Best overall response: SD | | | | | | | |
| <i>Lesion #</i> | Baseline (mm) | 1 mo. (mm) | 3 mo. (mm) Off-Study | 6 mo. (mm) | 10 mo. (mm) | 21 mo. (mm) | |
| 1 injected | 28 | 29 | 39 | 25 | 19 | 16 | |
| 2 R scalp | 15 | 10 | 0 | 0 | 0 | 0 | |
| 3 R forehead | 15 | 0 | 0 | 0 | 0 | 0 | |
| 6 C forehead | 15 | 0 | 0 | 0 | 0 | 0 | |

Figure 1: Patient 0020 Target Lesion Response

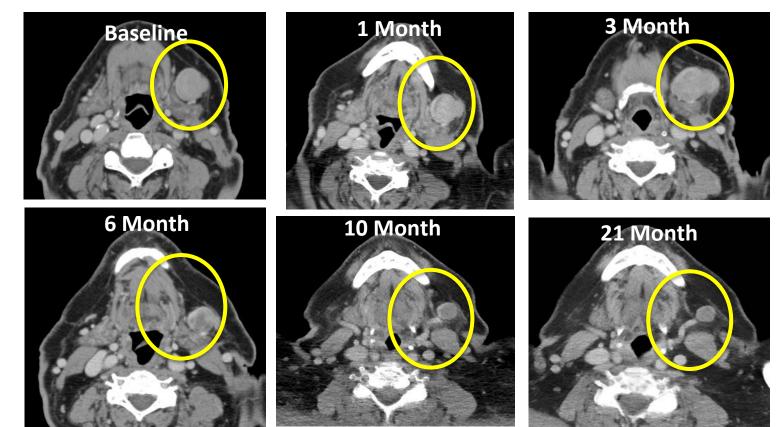


Table 5: Response Summary

| | | Table 5. Response Summary | |
|---|---------|--|------------|
| | N(%) | Best Overall Response Rate (Total 24 patients) | N (%) |
| | 26 | | |
| 9 | (34.6%) | Malignant Melanoma Patients: | 9 (37.5%) |
| | (11.5%) | Overall response (CR +PR) | 0 |
| 2 | (7.7%) | Stable Disease (SD) | 6 (66.7%) |
| 6 | (23.1%) | Progressive Disease (PD) | 3 (33.3%) |
| 1 | (3.8%) | Not Evaluable (NE) | 0 |
| 1 | (3.8%) | Head & Neck Cancer/Other Malignancies | 15 (62.5%) |
| 1 | (3.8%) | Patients: | (0, |
| 1 | (3.8%) | Overall response (CR +PR) | 0 |
| 1 | (3.8%) | Stable Disease (SD) | 2 (13.3%) |
| 1 | (3.8%) | | |
| 1 | (3.8%) | Progressive Disease (PD) | 9 (66.7%) |
| 1 | (3.8%) | Not Evaluable (NE) | 4 (20.0%) |
| 1 | (3.8%) | | |

<u>Case #2:</u> Malignant Melanoma Patient 0027

Patient 0027: Stage 2, 1 x 10⁷ TCID50/mL dose cohort

60 y/o male with melanoma of left forehead

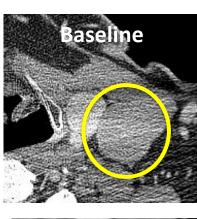
Prior therapies: Temodar, intralesional Oncovex (T-VEC), Ipilimumab

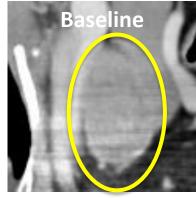
Completed study per protocol (4 HF10 injections in left neck Level 3 lymph node)

HF10-related TEAEs experienced: Gr1 fatigue, Gr 1 inj. site haematoma

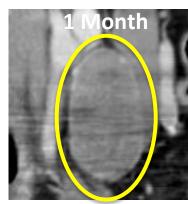
| Best local re | esponse: SI | C | Best ove | SD | |
|---------------|------------------|---------------|-------------------------|---------------|--|
| Lesion # | Baseline (mm) | 1 mo. (mm) | 3 mo. (mm) Off-Study | 8 mo. (mm) | |
| 1 injected | 41 | 52 | 39 | 16 | |
| 2 lung | 14 | 14 | 12 | 13 | |
| 3 lung | 49 | 45 | 41 | 37 | |

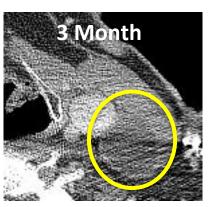
Figure 2: Patient 0027 Target Lesion Response

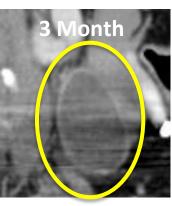
















DISCUSSION/CONCLUSIONS

requirement of antiviral treatment. lymph node is normal in size.

• Patient 0019: The HF10 injected left lateral thigh lesion did not reduce in size. However, 4 months after treatment the patient underwent a surgical resection of the lesion showing no evidence of melanoma. Hence the patient had a pathological complete response. The patient remains disease-free for over 2 years.

ONGOING DEVELOPMENT As a result of the favorable safety and treatment profile observed in this phase Ib study, as well as the preclinical observed potential of the combination of HF10 with anti-CTLA-4 antibody, a phase II study in patients with unresected and/or metastatic melanoma is currently underway (ClinicalTrials.gov Identifier: NCT02272855). Ipilimumab-naive patients will be treated with 4 doses of ipilimumab in combination with up to 19 injections of HF10 at a dose of 1×10^{7} TCID₅₀/mL. The first patient was treated in November 2014.

Patient 0019: Stage 2, 1 x 10⁶ TCID50/mL dose cohort] 37 y/o female with melanoma of left lateral thigh Prior therapies: adjuvant PEG interferon Best local response:

Lesion #

1: injected





In this Phase I study, treatment with HF10 was well-tolerated with no grade 3 or greater drug-related treatment emergent adverse events. Drug-related Grade 1 or 2 adverse events, which were low in frequency (34.6%), did not lead to treatment discontinuation, and were consistent with those observed in patients treated with other oncolytic viruses. There were no significant differences in adverse events between HSV-1 seropositive and seronegative patients. Viral shedding was observed infrequently, and resolved without the

In 24 patients evaluable for response, 8 (33%) had stable disease (SD). When target lesion response was stratified for disease type, it was observed that melanoma patients (N=9) demonstrated a greater frequency of SD than patients with head & neck cancer and other superficial malignancies (N=15) (66.7% vs 13.3%, respectively). Responses for 2 patient cases presented herein suggest that HF10 has both local and systemic antitumor activity, given that non-HF10-injected tumors showed stability or decrease in size. For patient 0020, 3 uninjected lesions completely regressed just 3 months after initiating treatment.

The maximum number of injection given was 4 and it is likely that this may not be sufficient to demonstrate a robust response in injected and uninjected lesions. However, even with only 4 injections of HF10, the 3 cases presented herein indicate that HF10 may have delayed responses:

• Patient 0020: The HF10 injected left submandibular lymph node reduced in size by 30% at 8 months and 41% by 21 months after treatment initiation. After 29 months the patient remains disease-free and the submandibular

• Patient 0027: The HF10 injected left neck level 3 lymph node reduced in size by 61% at 8 months after treatment

<u>Case #3:</u> Patient 0019 Malignant Melanoma

Completed study per protocol (4 HF10 injections in distal lateral left thigh)

HF10-related TEAEs experienced: Gr1 malaise, Gr 1 inj. site soreness, Gr1 pruritus

| PD | | | Best overall response: PD | |
|--------------|------------|-------------------------|---|---------------------------|
| seline m) | 1 mo. (mm) | 3 mo. (mm) Off-Study | 4 mo. | 21 mo. |
| 13 | 11 | 17 | Radical resection — no residual tumor (pathological CR) | No evidence of disease |

Figure 3: Patient 0019 Target Lesion Response

